

AUDIT COMMITTEE

26 June 2014

AUDIT COMMITTEE WORK PROGRAMME 2014/15

REPORT OF CHIEF FINANCE OFFICER

Contact Officer: Alexis Garlick Tel No: 01962 848224 Email:
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RECENT REFERENCES:

AUD069 - Audit Committee Work Programme 2013/14, 24 September 2013

EXECUTIVE SUMMARY:

A recommendation arising from the Committee's self-assessment in June 2013 was that the Committee should consider having an annual work programme to improve effectiveness. A programme for 2013/14 was submitted to Committee in September 2013 (Report AUD069 refers).

An Audit Committee Work Programme for 2014/15 is now attached for the approval of the Committee.

RECOMMENDATIONS:

1. That the Audit Committee Work Programme for 2014/15 be approved.

MEETING 26 JUNE 2014	<u>Lead</u>	<u>Report No</u>
<u>AUDIT</u>		
<u>Internal Audit</u>		
Anti-Fraud and Corruption Policy	Antony Harvey - Audit Group Manager, Southern Internal Audit Partnership	AUD087
Annual Internal Audit Report & Opinion 2013/14	Antony Harvey - Audit Group Manager, Southern Internal Audit Partnership	AUD089
Internal Audit Progress Report	Antony Harvey - Audit Group Manager, Southern Internal Audit Partnership	AUD097
Effectiveness of Internal Audit	Antony Harvey - Audit Group Manager, Southern Internal Audit Partnership	AUD088
Accounts Payable	Alexis Garlick – Chief Finance Officer	AUD096
<u>External Audit</u>		
External Audit Plan 2013/14	Kate Handy – Director, Ernst and Young	AUD091
Annual Audit Fee 2014/15	Kate Handy – Director, Ernst and Young	AUD092
<u>REGULATORY FRAMEWORK</u>		
Risk Management Policy 2014	Simon Eden – Chief Executive	AUD095
Corporate Governance Report & Annual Governance Statement	Stephen Whetnall – Chief Operating Officer	AUD086
Audit Committee Work Programme	Alexis Garlick – Chief Finance Officer	AUD090
<u>ACCOUNTS</u>		
Annual Financial Report 2013/14	Alexis Garlick - Chief Finance Officer	AUD093
MEETING 25 SEPTEMBER 2014		
<u>AUDIT</u>		
<u>Internal Audit</u>		
Internal Audit Progress Report	Antony Harvey- Audit Group Manager, Southern Internal Audit Partnership	
<u>External Audit</u>		
Audit Results Report for the year ended 31 March 2014	Kate Handy – Director, Ernst and Young	
<u>REGULATORY FRAMEWORK</u>		
<u>Treasury management</u>		
Treasury Management Stewardship Review (2013/14)	Alexis Garlick - Chief Finance Officer	
<u>ACCOUNTS</u>		
Annual Financial Report 2013/14 (for approval)	Alexis Garlick - Chief Finance Officer	
MEETING 4 DECEMBER 2014		
<u>AUDIT</u>		
<u>Internal Audit</u>		
Internal Audit Progress Report	Antony Harvey- Audit Group Manager, Southern Internal Audit Partnership	
<u>External Audit</u>		
Annual Audit Letter	Kate Handy – Director, Ernst and Young	
<u>REGULATORY FRAMEWORK</u>		
Annual Governance Statement & Corporate Risk Monitoring Report	Stephen Whetnall - Chief Operating Officer	
<u>Treasury management</u>		
Treasury Management Mid-year Review (2014/15)	Alexis Garlick - Chief Finance Officer	

MEETING 12 MARCH 2015

AUDIT

Internal Audit

Internal Audit Progress Report

Internal Audit Charter

Audit Plan 2015/16

External Audit

Certification of Claims and Returns Annual Report 2013/14

REGULATORY FRAMEWORK

Annual Governance Statement & Corporate Risk Monitoring Report

Treasury management

Treasury Management Policy & Practices

ACCOUNTS

Statement of Accounts 2014/15 - considerations

Antony Harvey- Audit Group Manager,
Southern Internal Audit Partnership
Antony Harvey- Audit Group Manager,
Southern Internal Audit Partnership
Antony Harvey- Audit Group Manager,
Southern Internal Audit Partnership

Kate Handy – Director, Ernst and
Young

Stephen Whetnall - Chief Operating
Officer

Alexis Garlick - Chief Finance Officer

Alexis Garlick - Chief Finance Officer